

Professional Summary

Seeking a position of Finance/Audit/Compliance to work in a fast-paced and challenging environment, where I can use my experience as Financial Analyst, which included not less than retail operations/Audit in mortgage/Non Banking Finance Company and currently using my same knowledge in E-commerce industry as in Compliance operation department. Total work experience of 2.5years.

Skills

- Anti-Money Laundering
- Know Your Customer
- Securities Market
- Risk Management
- Internal Audit
- Enterprise Resource Planning
- MIS
- Business Operations and Research
- Risk analysis
- Investigative research
- Regulatory compliance
- Quality assurance
- Hazard analysis and risk control
- Financial Analysis

Work History

SENIOR COMPLIANCE ANALYST-DG

Jul 2018 - Current

Amazon Development Centre India PNQ13

PUNE, MH

- CURRENTLY WORKING AS SR COMPLIANCE ASSOCIATE-DANGEROUS GOODS (QUALITY CHECK) IN AMAZON INDIA FROM JULY 2018-PRESENT.
- JOB INCLUDES COMPLIANCE AND AUDIT (QA ANALYST) THROUGH THOROUGH RESEARCH AND ANALYSIS OF GOODS SPECIALLY THE DANGEROUS ONES AND GIVING APPROVAL THEM TO GO LIVE ON AMAZON WEB.
- REPORT VIOLATIONS OF RULE SNAD REGULATION, POLICIES AND PROCEDURES BY EVALUATING AND OR RECOMMENDING THE INITIATION OF INVESTIGATIVE AND CORRECTIVE PROCEDURES.
- RESPOND TO STAKEHOLDERS WITH GUIDANCE AND FLAGS AND UPDATES TO LEAD MANAGER.
- EXECUTION OF INTERNAL AUDITS, WHICH INCLUDES PROCESS, AND OPERATIONS AUDITS.
- WORKING AS A SUBJECT MATTER EXPERT IN RESOLVING QUERIES ASKED BY OTHER MEMBERS OF ORGANIZATION

Financial Analyst

Nov 2014 - Feb 2016

XL Dynamics India Private Limited

NAVI MUMBAI, MH

- JOB PROFILE INCLUDES DATA ANALYSIS AND PROCESSING DATA.
- ORDERING MANY IN-SERVICES LIKE TITLE (REQUESTING DOCUMENTS OF MORTGAGE PROPERTY)/ORDERING TRANSCRIPTS WHICH IS USED TO CALCULATE INCOME OF BORROWER (AS A CREDIT ANALYST)/VERIFYING SOCIAL SECURITY NUMBER THROUGH SSN VERIFICATION ORDER ETC/ORDERING CREDIT REPORT ANALYSIS OF CREDIT REPORT SKILLED IN DOING ANY KIND OF RE-WORK TASK RELATED TO FILES AT ANY STAGE.
- ACCURATELY SETTING UP OF FILES IN ERP SYSTEM AFTER REVIEWING THE CRITICALITY OF DATA AND

MAINTAINING THEIR INTEGRITY AND PRIVACY AND SECURITY AS A PART OF DATA MINING TECHNIQUE.

•WORKING KNOWLEDGE OF AML/KYC GUIDELINES.IDENTIFYING RED FLAGS ASSOCIATED WITH IT AND REPORTING IT.

•SECONDARY WORK OF MIS REPORTING.

Education

BE: Electronics and Communication Engineering

Aug 2014

TIT COLLEGE

BHOPAL MP

CGPA 7.53

XII Class: Science Education

Bal Bhawan School

Bhopal, MP

64.60% , CBSE

X

Bal Bhawan School

Bhopal, MP

64.20,CBSE

Accomplishments

- Worked as a coordinator and volunteer in the college events.
- Founder member of a N.G.O named "JOY OF GIVING".

LANGUAGES

ENGLISH, HINDI,MARATHI

ADDITIONAL SUBJECT KNOWLEDGE

Knowledge of Indian audit and Accounting Principles and keen interest in economic (macro and micro). Also have knowledge of budget/direct and indirect taxation policies of the country. Working knowledge of KYC and AML procedures and red flags associated with it. Have knowledge of Company Laws and Acts. Knowledge of Indian banking system and Acts associated with it.

Certifications

SEBI Certified NISM on Securities Market Operations and Risk Management

Know the basics of the Indian securities market, the different products traded and the various market participants and the respective roles they play in the Indian securities market.

Understand the regulatory framework and the role of the Securities Exchange Board of India.

Know the various functions of the Front Office, Middle Office and Back Office in a Securities Broking Firm.

Understand the trade life cycle, the steps and participants involved in the trade life cycle and the role of the back office in a securities broking firm.

Understand how the risks are managed in a securities broking firm, the clearing and settlement process.

Understand the process of investor grievances redressal.

ADDITIONAL INFORMATION

Have knowledge of different subjects from basic to analyzing aspects.